

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 11644


Application ID: 09681836

Title of Invention: A USER INTERFACE FOR A SYSTEM  
AND PROCESS FOR PROVIDING  
DYNAMIC COMMUNICATION ACCESS  
AND INFORMATION AWARENESS IN  
AN INTERACTIVE PERIPHERAL  
DISPLAY

First Named Inventor: Jonathan Cadiz

Domestic/Foreign Application: Domestic Application

Filing Date: null

Effective Receipt Date: 2001-06-14 

Submission Type: Utility Patent Filing

Filing Type: new-utility

Confirmation Number: 0

Attorney Docket Number: MCS-075-00

Digital Certificate Holder: cn=Mark Watson, ou=Registered Attorneys, ou=Patent and Trademark  
Office, ou=Department of Commerce, o=U.S. Government, c=US

Certificate Message Digest: SrLJB7xqlSxCHY4SMcefaw==

Total Fees Authorized: \$2172.0

Payment Category: CC - Credit Card

Credit Card Number: \*\*\*\*\*8040

Expiration Date: 05312002

Card Holder Name: Katrina A. Lyon

RAM User ID: EFSPROD

RAM Accounting Date: 2001-06-15

RAM Sequence Number: 300919

RAM Payment Status: RAM success

Postal Code: 93041

# TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number:

MCS-075-00

## A USER INTERFACE FOR A SYSTEM AND PROCESS FOR PROVIDING DYNAMIC COMMUNICATION ACCESS AND INFORMATION AWARENESS IN AN INTERACTIVE PERIPHERAL DISPLAY

First Named Inventor: Jonathan J. Cadiz

### SUBMITTED BY

Name: Mr. Mark A. Watson

Registration Number: 41,370

Electronic Signature Mark: Mark A.  
Watson Date Signed: 20010608

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

bibd-transmittal

epaveapds.xml

fee-transmittal

epavefee.xml

patent-assignment

epaveasgn.xml

specification

MCS-075-00.xml

declaration

Decl Page 1.tif

declaration

Decl Page 2.tif

**Attached Image File(s):**

Decl Page 1.tif

Decl Page 2.tif

DECLARATION

Comments:

T.02020" 9E.8T3350

# PATENT APPLICATION

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

ATTORNEY DOCKET NO. MCS-075-00

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: A USER INTERFACE FOR A SYSTEM AND PROCESS FOR PROVIDING DYNAMIC COMMUNICATION ACCESS AND INFORMATION AWARENESS IN AN INTERACTIVE PERIPHERAL DISPLAY

the specification of which is filed herewith unless the following box is checked:

( ) was filed on \_\_\_\_\_ as US Application Serial No. or PCT International Application  
Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

### Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES: _____ NO: _____
			YES: _____ NO: _____

### Provisional Application

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION SERIAL NUMBER	FILING DATE

### U.S. Priority Claim

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NUMBER	FILING DATE	STATUS(patented/pending/abandoned)

### POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) listed below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Add Attorney Name and Reg. No.	Katie E. Sako, Reg. No. 32628	Daniel D. Crouse, Reg. No. 32022	Mark A. Watson, Reg. No. 41370
Richard T. Lyon, Reg. No. 37,385			

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's Signature

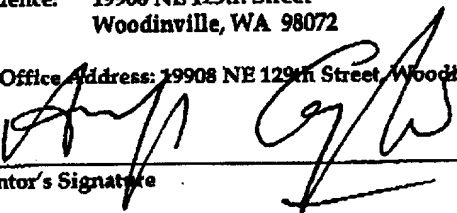
6/7/01  
Date

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G-7-01  
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6.7.01  
Date

**EXPRESS MAIL CERTIFICATE UNDER 37 CFR 1.10**

Date: **June 8, 2001** Label No. EL 749213861 US

I hereby certify that, on the date indicated above I deposited the attached paper or fee and every paper or fee referred to therein with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Box PCT, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

MARK WATSON  
Name (Print)

Mark Watson  
Signature

09631836-060901  
T08090"9E8T8360

# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 2172**

## BANK (CREDIT) CARD INFORMATION:

Credit Card Number: 8040  
Expiration Date: 20020531  
Authorized Name: Katrina A. Lyon  
Billing Address: 93041

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 710

Subtotal For Basic Filing Fee: \$ 710

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 99	103	\$ 18	79	\$ 1422
Independent Claims: 3	102	\$ 80	0	\$ 0

Subtotal For Extra Claims Fees: \$ 1422

## ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

Subtotal For Additional Fees: \$ 40